



Junior ISA Transfer Form

(Stocks & Shares) 2023/2024 Tax Year

Please complete in **BLOCK CAPITALS** and return to: **JTC Fund & Corporate Services, HX1, 16th Floor, Harbour Exchange Square, London, E14 9GE.**

This Transfer Form is accurate as from **06/04/23**.

1 Child Details - The Child will be named as the beneficial owner of the account (please complete this section in full)

Master/Miss/Other:

Surname:

Full first name(s):

National Insurance Number: ___ / ___ / ___ / ___ / ___

If your child **does not** have a NI Number, please tick here

Male Female Date of Birth: DD / MM / YYYY

Please detail any residency or citizenship held in any other country than the UK:

Name or Number of Property:

Address:

City:

Country:

Postcode:

2 Applicant Details - Registered Contact* (please complete this section in full)

**The Registered Contact is a person with parental responsibility, or the child aged 16-18, if they so wish.*

The Child named above **IS** the Registered Contact

Mr/Mrs/Ms/Miss/Other:

Surname:

Full first name(s):

Telephone:

Male Female Date of Birth: DD / MM / YYYY

Email:

Are you a UK Tax Resident? Yes No If No:

If you are a resident outside the UK for tax purposes, please state the country/countries you are a resident of for tax purposes:

Name or Number of Property:

Address:

City:

Country:

Postcode:

Tax Identification Number:

I confirm that I am **NOT** a Politically Exposed Person*

*If you are unsure as to whether you qualify as a Politically Exposed Person please read our explanation in full, which can be found in our Supplementary Investor Document (SID) located in the Key Documents section of our website (www.slaterinvestments.com).

3 Details of Accounts (please select one or more of the following)

Option 1: Transfer Existing Stocks & Shares Junior ISA

I wish to transfer my existing Stocks & Shares Junior ISA to Slater Investments in full

Option 2: Transfer Existing Cash Junior ISA*

I wish to transfer my existing Cash Junior ISA to Slater Investments in full

I wish to transfer £_____ or _____% of my existing Cash Junior ISA to Slater Investments

*Cash transferred from any Junior Cash ISA will be transferred in to a Junior Stocks & Shares ISA

4 Client Identification

In order to comply with Anti-Money Laundering Regulations, we are required to verify the identity and address of the applicant. Please could you send us one document from **each** of the below categories for **all** registered holders (Section 1)

Category A - Identity Document
Current Signed Passport or Certified Copy
Driving Licence* or Certified Copy
Birth Certificate

Category B - Address Document
Utility Bill
Bank/Building Society/Financial Services Statement
Government Issued Document
Driving Licence* or Certified Copy

* A driving licence cannot be used for both categories

NB (1) - The address on all documents must match your listed address on this form

NB (2) - Utility Bills and all Bank/Building Society/Financial Services documents must be less than 3 months old.

We understand that you may not have the documents we have requested. In this situation, we may be able to accept some of the following as evidence of your personal identity or address:

Under 18's only

- Current passport
- Birth certificate
- Adoption certificate
- Confirmation from your work/school/college/university of your full name, current address and details of your employment/student status.
- NHS Medical Card
- National Insurance Card
- Student identification/matriculation card – this must be valid for the current academic year.
- Student Loan Company/ Local Education Authority award notice
- HMRC notifications of Child Tax Credit or Child Benefit, where you are named as the child in respect of which the benefit is paid.

For more information regarding Client Identification, please refer to our Anti-Money Laundering booklet that can be found in the Key Documents page on our website.

Whilst we can accept original documentation, we do not advise customers to send in original copies unless it is sent through registered post, we will then return in the same manner. We are unable to accept liability for any loss or damage upon return. Alternatively a copy of the documents can be sent as long as it is signed by a certifier. The certifier must write the date, full name, address and contact telephone number.

Document Certification:

The following people can certify documents:

- A director, officer or manager of a regulated financial services business which is operating in an equivalent jurisdiction, or of a branch or subsidiary of a group headquartered in an equivalent jurisdiction, which applies group standards to subsidiaries and branches worldwide and tests the application of, and compliance with, such standards.
- A lawyer or notary public (who is a member of a recognised professional body).
- An actuary or accountant (who is a member of a recognised professional body).
- A tax advisor (who is a member of a recognised professional body).
- A member of the judiciary, a senior civil servant or a serving police or customs officer.
- An officer of an embassy, consulate or high commission of the country of issue of documentary evidence of identity.
- An individual that is qualified to undertake certification services under the authority of the Certification and International Trade Committee.

The certifier must certify that he/she has seen the original documentation and must use the following wording when certifying any documents as true copies, ensuring the relevant statement is copied in its entirety:

- Proof of identity (photographic identity documents):

"Having seen the individual and the identification document at the same time, I certify that this is a true copy and the photograph bears a true likeness of [enter name]."

- All other documents:

"Having seen the original and photocopy document at the same time, I certify that this is a true copy."

In addition, the following details should be included in every instance so that the certifier may be contacted in the event of a query:

- Date
- First name(s) and surname
- Signature

- Company name
- Position
- Registration number (if a member of a recognised professional body)
- Contact details: address, telephone number or an email address at which they can be contacted.

5 Investment Selection

You may invest in one or more Slater Fund(s). The minimum subscription for each Fund is detailed in the relevant Prospectus. The total investment for the 2023/24 tax year must not exceed **£9,000** or **£750 per month**.

If you are ready to choose your investments, enter them on the following page. Alternatively, to choose to invest in a fund at a later date, please select the cash option.

Fund Name	Lump Sum (£)	Regular Savings (£)
1)		
2)		
3)		
Total:		
	£	£

Cash (to be held within a Stocks and Shares JISA account)¹

Income will be accumulated (where accumulation units/shares are available) or automatically reinvested.

¹ For more information, please refer to our terms and conditions found under the Key Documents section of our website (www.slaterinvestments.com).

6 Transfer Instruction to Existing ISA Manager

Cash Transfer (1)

Stock Transfer (2)

I authorise you to transfer the Junior ISA referred to in this section either by (1) liquidating the assets as detailed and transfer the proceeds with any additional cash held in my Junior ISA to Slater Investments Limited or (2) transferring any stock held in my Junior ISA to Slater Investments Limited, providing that the stock is already a Slater Fund and currently held with a different Junior ISA provider. Any dividends, tax credits, sale proceeds or other monies should be forwarded as soon as possible. In addition, I authorise you to supply Slater Investments Limited with full details of my Junior ISA and understand the transfer process will commence from receipt of this application unless stated otherwise. Please forward a full history of the ISA(s) along with payment date to Slater Investments Limited at your earliest convenience.

Manager Name:
Manager Address:
Postcode:

Telephone Number:
Account Number:
Approximate Value: £
Signature:
Date: DD / MM / YYYY

NB - If you are transferring from more than one Plan/Account Manager, please request more Transfer Authority forms.

7 Declaration

- I confirm that: I, the child, am 16 years of age or over I have parental responsibility for the child **(please tick one)**
- I do/the child does not have a Child Trust Fund account and I will be the Registered Contact for the Junior ISA.
- I, the child, am a resident in the United Kingdom (UK) for tax purposes. I will inform Slater Investments Limited if I am no longer a resident
- I confirm that I am not a US Person (either resident or citizen) and acknowledge that US Persons cannot hold shares/units in the Slater Fund(s).
- I apply to subscribe for a Junior Stocks & Shares ISA for the amount stated in this document and for each subsequent year whilst I am/the child is under 18.
- I have not subscribed and will not subscribe to another Junior Stocks & Shares ISA for this child.
- I am not aware that this child has another Junior ISA of this type and I am not aware of other Junior ISA subscriptions that will result in this child exceeding the annual limit.
- All subscriptions made and to be made belong to me/the child.

- I authorise Slater Investments Limited:

(i) to hold my cash subscription, Junior ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.

(ii) to make on my behalf any claims or relief from tax in respect of Junior Stocks & Shares ISA investments.

- I acknowledge that I have read and understood the Slater Investments Limited Junior ISA Terms & Conditions and accept that they form the basis of my agreement with Slater Investments Limited. To the best of my knowledge and belief, all statements made in this application form are true and correct and I shall inform the plan manager immediately of any change in my circumstances affecting any of the information in this form.

- I have read and understood the relevant Key Investor Information Document (KIID) and Supplementary Information Document (SID). I understand that these documents form part of the terms and conditions of my investment in the Slater Funds.

- I confirm that I have read the declaration carefully.

Slater Investments Limited takes your data privacy very seriously. Under data protection legislation, we are classified as a data controller. This means that we are subject to certain obligations relating to how we process personal data. These obligations include, without limitation, providing individuals with certain information regarding how we process their data.

We will use the personal data you have provided in this Application Form, including your name, age, contact details, bank account details, transactions and the invested amount in accordance with all applicable data protection laws and our Investor Privacy Policy, which is available from the Policies section of our website at www.slaterinvestments.com (or otherwise available upon request).

Our Investor Privacy Policy sets out, amongst other things, the purpose or purposes for which your personal data is collected and intended to be processed, and also contains any other information prescribed by data protection legislation.

Slater Investments Limited, as a data controller, will handle all your personal details in accordance with General Data Protection Regulations. From time to time we would like to send you information on other products, services and events which we offer and which we may think are of interest to you. **If you would like to receive such information please tick this box:**

You may, at any time, object to the use of your personal details and withdraw your consent for the purposes of direct marketing at any time and free of charge by writing to us by letter and/or email at the address provided in the Investor Privacy Policy.

Full Name:

Signature:

Date: DD / MM / YYYY

This form will be returned if it is not signed and dated.

8 Completed Form Checklist

To ensure that there will be as few issues as possible, if any, in processing your form, please make sure you have:

- Provided full registration details - **Sections 1, 2 and 4**
- Stated your fund choice(s) and how much you wish to invest - **Section 5**
- Signed and dated the declaration - **Section 7**
- Completed and signed the mandate for regular savers - **Section 9** (if applicable)

Up to date Key Investor Information Documents, Supplementary Investor Document, Prospectuses and Reports & Accounts for any Slater Fund can be requested by the investor from Slater Investments Limited at any time or obtained from the relevant Slater Fund page on the Slater Investments Limited website (www.slaterinvestments.com).

If you have any queries or need further assistance with the completion of this Junior ISA Transfer Form, please contact your Professional Adviser or contact **Lisa Letham** on **+44 (0) 207 220 9365**.

Your completed Junior ISA Transfer Form should be returned to your Professional Adviser or directly to: **JTC Fund & Corporate Services, HX1, 16th Floor, Harbour Exchange Square, London, E14 9GE.**

9 Investment By Direct Debit

Instruction to your Bank or Building Society to pay Direct Debit



Name and full postal address of your Bank or Building Society:

To the Manager	Bank or Building Society
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Service User: Slater Investments Limited, Nicholas House 3 Laurence Pountney Hill, London, EC4R 0EU
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Address:
Postcode:

Service User No.	1	6	8	5	5	2
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Reference Number (to be completed by SIL):

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Name(s) of Account Holder(s)

Instructions to your Bank or Building Society: Please pay Slater Investments Limited Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Slater Investments Limited and, if so, details will be passed electronically to my Bank or Building Society.

Account Number:	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Sort Code:	-	-
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Please note that some Banks and Building Societies may not accept Direct Debit instructions from some types of accounts. Any bank details given in this section will override any existing bank details we may hold for you.

Full Name:

Signature:

Date: DD / MM / YYYY

10 Direct Debit Guarantee

This guarantee should be detached and retained by the payer.



- This guarantee is offered by all Bank and Building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit, Slater Investments Limited will notify you 10 working days in advance of your account being debited, or as otherwise agreed. If you request Slater Investments Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in payment of your Direct Debit by Slater Investments Limited or your Bank/Building Society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
 - If you receive a refund you are not entitled to, you must pay it back when Slater Investments Limited asks you to.
- You can cancel a Direct Debit at any time by simply contacting your Bank or Building Society. Written confirmation may be required. Please also notify us.