



# ISA Application Form - Returning Investors

(Stocks & Shares) 2024/2025 Tax Year

Please complete in **BLOCK CAPITALS** and return by post to **JTC Fund & Corporate Services, HX1, 16th Floor, Harbour Exchange Square, London, E14 9GE** or email to **Slater.Dealing@jtcgroup.com**

This Application Form is accurate as from **06/04/24**.

## 1 Personal Details (please complete this section in full)

Account Number (if known):

Mr/Mrs/Ms/Miss/Other:

Surname:

Full first name(s):

Telephone:

Male  Female

Date of Birth: DD / MM / YYYY

Current address:

Name or Number of Property:

Address:

City:

Country:

Postcode:

National Insurance Number: \_\_\_ / \_\_\_ / \_\_\_ / \_\_\_ / \_\_\_

If you **do not** have a NI Number, please tick here

Email:

I confirm that I am solely a UK resident for tax purposes and that I am not a US citizen:

Nationality/ies:

If at current address for less than two years, please supply previous address:

Name or Number of Property:

Address:

City:

Country:

Postcode:

Please detail any residency or citizenship you hold in any other country than the UK:

I confirm that I am **NOT** a Politically Exposed Person\*

\*If you are unsure as to whether you qualify as a Politically Exposed Person please read our explanation in full, which can be found in our Supplementary Investor Document (SID) located in the Key Documents section at [www.slaterinvestments.com](http://www.slaterinvestments.com).

## Third Party Details (please complete if relevant)

Please complete this section if the person funding all or part of this investment is not the applicant listed in above. A Confirmation of Verification of Identity (CVI) will also be required.

Mr/Mrs/Ms/Miss/Other:

Surname:

Full first name(s):

Male  Female

Date of Birth: DD / MM / YYYY

Name or Number of Property:

Address:

City:

Country:

Postcode:

## 2 Nominated Bank Account (if applicable)

If there have been any changes to your nominated bank account please confirm these in the boxes below. We'll use these details to pay any future income/withdrawals to:

Name of Account Holder:

Account Number:

Sort Code:

### 3 Investment Selection

You may invest in one or more Fund(s). The minimum subscription for each Fund is detailed in the relevant Prospectus. The total investment for the 2024/25 tax year must not exceed **£20,000** or **£1,666 per month**.

Fund Name	Lump Sum (£)	Regular Savings (£)
1)		
2)		
3)		

<b>Total:</b>	<b>£</b>	<b>£</b>
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If you require **income to be paid out** to you, please tick here:  Income will be paid to the applicant's bank account detailed in Section 3. For Accumulation units/shares, income will be automatically reinvested.

### 4 Funding Your Investment (tick all that apply)

**Bank Transfer** Amount: £

All Bank Transfers must be paid directly to the account details below, referenced with the name of the client.

**Account Number:** 10196669

**IBAN:** GB79BOFS12010310196669

**Sort Code:** 12-01-03

**BIC:** BOFSGB21254

**Cheque(s)** Amount: £

Cheques must either be drawn on your account or a joint account. The cheque must be made payable to Slater Investments Limited. For a Building Society cheque or banker's draft, your name must appear on the front of the cheque, or on the back of the cheque accompanied by the Building Society's or Bank's official stamp and signature. Please also note that cheques can take up to five working days to clear and your deal will not be placed until such time as Slater has received these cleared funds.

**Direct Debit** Amount: £

In order to pay via Direct Debit, please ensure you have completed **Section 8** overleaf and enclose either a void cheque from the account or a bank statement of the account that is no older than 3 months old (this is required to verify your account) along with your application form.

### 5 Source of Funds

Please confirm where the money you wish to invest has come from by ticking the relevant box(es) below.

Employment Income (e.g. salary)	<input type="checkbox"/>	Inheritance	<input type="checkbox"/>
Sale of Property (e.g. home)	<input type="checkbox"/>	Sale of Business	<input type="checkbox"/>
Sale of Investments(s) (e.g. shares, bonds)	<input type="checkbox"/>	Other (please provide more details in the box below)	<input type="checkbox"/>

Details:

### 6 Declaration

- I confirm that I am 18 years of age or over. I am a resident in the United Kingdom (UK) for tax purposes or, if not a resident, either perform duties which, due to Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees overseas) are treated as being performed in the UK, or I am married to or in a civil partnership with a person who performs such duties. I will inform Slater Investments Limited. If I am no longer resident in the UK or perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- I confirm that I am not a US Person (either resident or citizen) and acknowledge that US Persons cannot hold shares/units in the fund(s).
- I apply to subscribe for a Stocks & Shares ISA for the tax year and amount stated in this document and for each subsequent year.
- I have not subscribed and will not subscribe more than the overall subscription limit for ISA investments in the same tax year.
- All subscriptions made and to be made belong to me.

• I authorise Slater Investments Limited:

(i) to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.

(ii) to make on my behalf any claims or relief from tax in respect of ISA investments.

• I acknowledge that I have read and understood the Slater Investments Limited ISA Terms & Conditions and accept that they form the basis of my agreement with Slater Investments Limited. To the best of my knowledge and belief, all statements made in this application form are true and correct and I shall inform Slater Investments Limited immediately of any change in my circumstances affecting any of the information in this form.

• I have read and understood the relevant Key Investor Information Document (KIID) and Supplementary Information Document (SID). I understand that these documents form part of the terms and conditions of my investment in the Slater Funds.

• I confirm that I have read the declaration carefully.

Slater Investments Limited takes your data privacy very seriously. Under data protection legislation, we are classified as a data controller. This means that we are subject to certain obligations relating to how we process personal data. These obligations include, without limitation, providing individuals with certain information regarding how we process their data.

We will use the personal data you have provided in this Application Form, including your name, age, contact details, bank account details, transactions and the invested amount in accordance with all applicable data protection laws and our Investor Privacy Policy, which is available from the Policies section of our website [www.slaterinvestments.com](http://www.slaterinvestments.com) (or otherwise available upon request).

Our Investor Privacy Policy sets out, amongst other things, the purpose or purposes for which your personal data is collected and intended to be processed, and also contains any other information prescribed by data protection legislation.

Full Name:

Signature:

Date: DD / MM / YYYY

**This form will be returned if it is not signed and dated.**

## 7 Completed Form Checklist

To ensure that there will be as few issues as possible, if any, in processing your form, please make sure you have:

- Provided full registration details - **Section 1**
- Stated your fund choice(s) and how much you wish to invest - **Section 3**
- Confirmed the source(s) of the monies you wish to invest with us - **Section 5**
- Signed and dated the declaration - **Section 6**
- Completed and signed the mandate for regular savers and/or income payments - **Section 8** (if applicable)

Up to date Key Investor Information Documents, Supplementary Investor Document, Prospectuses and Reports & Accounts for any Slater Fund can be requested by the investor from Slater Investments Limited at any time or obtained from the relevant Slater Fund page on the Slater Investments Limited website ([www.slaterinvestments.com](http://www.slaterinvestments.com)).

If you have any queries or need further assistance with the completion of this application form, please contact your Professional Adviser or contact Slater Investments' Head of Investor Relations **Lisa Letham** on **+44 (0) 207 2209365**.

Your completed Application Form should be returned to your Professional Adviser or directly by post to **JTC Fund & Corporate Services, HX1, 16th Floor, Harbour Exchange Square, London, E14 9GE** or by email to **Slater.Dealing@jtcgroup.com**

## 8 Investment By Direct Debit

### Instruction to your Bank or Building Society to pay Direct Debit



Name and full postal address of your Bank or Building Society:

To the Manager	Bank or Building Society
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Address:
Postcode:

Name(s) of Account Holder(s)
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Account Number:	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Sort Code:	-	-
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Service User: Slater Investments Limited, Nicholas House 3 Laurence Pountney Hill, London, EC4R 0EU
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Service User No.	1	6	8	5	5	2
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Reference Number (to be completed by SIL):
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<b>Instructions to your Bank or Building Society:</b> Please pay Slater Investments Limited Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Slater Investments Limited and, if so, details will be passed electronically to my Bank or Building Society.
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Please note that some Banks and Building Societies may not accept Direct Debit instructions from some types of accounts. Any bank details given in this section will override any existing bank details we may hold for you.

Full Name:
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Signature:
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Date: DD / MM / YYYY
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## 9 Direct Debit Guarantee

This guarantee should be detached and retained by the payer.



- This guarantee is offered by all Bank and Building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit, Slater Investments Limited will notify you 10 working days in advance of your account being debited, or as otherwise agreed. If you request Slater Investments Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in payment of your Direct Debit by Slater Investments Limited or your Bank/Building Society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
- If you receive a refund you are not entitled to, you must pay it back when Slater Investments Limited asks you to.
- You can cancel a Direct Debit at any time by simply contacting your Bank or Building Society. Written confirmation may be required. Please also notify us.