



# Additional Permitted Subscription (APS) Application Form

(Stocks & Shares) 2023/2024 Tax Year

Please complete in **BLOCK CAPITALS** and return to: **JTC Fund & Corporate Services, HX1, 16th Floor, Harbour Exchange Square, London, E14 9GE.**

This Application Form is accurate as from **19/11/24**.

## 1 Personal Details (please complete this section in full)

Account Number (if known):

Mr/Mrs/Ms/Miss/Other:

Surname:

Full first name(s):

Telephone:

Male  Female

Date of Birth: DD / MM / YYYY

Current address:

Name or Number of Property:

Address:

City:

Country: Postcode:

Please detail any residency or citizenship you hold in any other country than the UK:

I confirm that I am **NOT** a Politically Exposed Person\*

\*If you are unsure as to whether you qualify as a Politically Exposed Person please read our explanation in full, which can be found in our Supplementary Investor Document (SID) located in the Key Documents section at [www.slaterinvestments.com](http://www.slaterinvestments.com).

## 2 Details of the Deceased (please complete this section in full)

Please tick if the deceased is an existing unit/shareholder in one or more of the Slater Funds

Account Number (if known):

Mr/Mrs/Ms/Miss/Other:

Surname:

Full first name(s):

Date of Birth: DD / MM / YYYY Date of Death: DD / MM / YYYY

Date of marriage or civil partnership between the investor and the deceased: DD / MM / YYYY

If the Deceased's ISA was held with another ISA Manager, please provide the following information:

Name of Deceased's ISA Manager:

Account Number (if known):

National Insurance Number: \_\_ / \_\_ / \_\_ / \_\_ / \_\_

If you **do not** have a NI Number, please tick here

Email:

I confirm that I am solely a UK resident for tax purposes and that I am not a US citizen:

Nationality/ies:

If at current address for less than two years, please supply previous address:

Name or Number of Property:

Address:

City:

Country: Postcode:

Please note that if multiple ISAs were held by the deceased with the ISA Manager their value will be combined to form one Additional Permitted Subscription allowance.

By providing the information of an ISA Manager other than Slater Investments Limited you authorise us to instruct the above ISA Manager to provide the relevant information in relation to the Deceased's ISA to Slater Investments Limited.

### 3 Subscription Amount & Method of Subscription (please complete this section in full)

I wish to subscribe to a Slater Investments Stocks & Shares ISA

I wish to subscribe £ \_\_\_\_\_ of my APS allowance, or  100% of my APS allowance\*

\*Please provide a monetary figure in the space above or tick the box available to confirm your selection.

Depending on when the death occurred, the APS is calculated differently. Please refer to the General Information Document and Frequently Asked Questions for more information on how to calculate your APS allowance.

If you are unsure of the allowances available to you please contact your Professional Adviser.

Please select one of the two following investment options:

**Option 1 - Re-register the existing holdings in the deceased investor's Slater Investments Stocks & Shares ISA (in specie)**

You will not need to complete Section 4 (Investment Selection) if you are re-registering 100% of your allowance. If there are additional executors on the account, they will need to sign below to confirm your entitlement to the assets.

**Option 2 - Subscribe in cash**

Slater Investments Limited does not offer Cash ISAs. Please complete Section 4 (Investment Selection) to confirm how you would like your cash invested.

Payments must be made to the designated Client Dealing account detailed in Section 6 (Funding Your Investment)

Additional Executor Name (1)

Additional Executor Name (2)

Additional Executor Signature (1)

Additional Executor Signature (2)

Date (1)

Date (2)

Once a subscription to an APS allowance has been made, any future subscriptions under that APS allowance must be made to us as ISA Manager and be accompanied by a further APS Investment Application Form.

### 4 Investment Selection

Please complete the 'Amount' column below if you are funding the investment by cheque. Complete the 'Units/Shares' column if you are re-registering the existing units/shares. This is not required if you have chosen to re-register in full in Section 3 (Subscription Amount & Method of Subscription).

Fund Name	Units/Shares	Amount
1)		
2)		
3)		
4)		
<b>Total:</b>		£

If you have selected a Fund that pays out its income, instead of accumulating it, please complete Section 5 below.

### 5 Nominated Bank Account

Complete this section to set up a new nominated bank account. We'll use the details below to pay any future income/withdrawals to:

Name of Account Holder:

Account Number:

Sort Code:

If no bank details are provided your investment will be made in accumulation units/shares and no distributions will be paid out. It is the responsibility of the investor to notify us of any changes in bank details.

### 6 Funding Your Investment

All Bank Transfers must be paid directly to the account details below, referenced with the name of the client.

**Bank Name:** Bank of Scotland

**Account Name:** Slater Investments Client A/C Dealing

**Account Number:** 10196669

**IBAN:** GB79BOFS12010310196669

**Sort Code:** 12-01-03

**BIC:** BOFSGB21254

## 7 Declaration

### I declare that:

- I am the surviving spouse/civil partner of the Deceased named on this application.
- I was living with the Deceased within the meaning of section 1011 of the Income Tax Act 2007 at the date of the deceased's death (we were not separated under court order, under a deed of separation, or in a situation where the marriage/civil partnership has broken down).
- I wish to establish a Slater Investments Limited Stocks & Shares ISA. The subscription is made under the provisions of regulation 5DDA of the ISA Regulations (additional permitted subscription).
- The subscription is being made:
  - (i) within 3 years of the date of death or, if later, within 180 days of the completion of the administration of the estate, if a cash subscription;
  - (ii) within 180 days of the completion of the administration of the estate, if an in-specie transfer.
- I am 18 years of age or over. I am a resident in the United Kingdom (UK) for tax purposes or, if not a resident, either perform duties which, due to Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees overseas) are treated as being performed in the UK. I will inform Slater Investments Limited if I am no longer resident in the UK or perform such duties.
- I am not a US Person (either resident or citizen) and acknowledge that US Persons cannot hold shares/units in the fund(s).
- All subscriptions made and to be made belong to me.
- I have read and understood the Slater Investments Limited ISA Terms & Conditions and accept that they form the basis of my agreement with Slater Investments Limited. To the best of my knowledge and belief, all statements made in this application form are true and correct and I shall inform Slater Investments Limited immediately of any change in my circumstances affecting any of the information in this form.
- I have read and understood the relevant Key Investor Information Document (KIID) and Supplementary Information Document (SID). I understand that these documents form part of the terms and conditions of my investment in the Slater Funds.

### I authorise Slater Investments Limited:

- (i) to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- (ii) to make on my behalf any claims or relief from tax in respect of ISA investments.
- I confirm that I have read the declaration carefully and the information given in this application is correct to the best of my knowledge and belief.

Slater Investments Limited takes your data privacy very seriously. Under data protection legislation, we are classified as a data controller. This means that we are subject to certain obligations relating to how we process personal data. These obligations include, without limitation, providing individuals with certain information regarding how we process their data.

We will use the personal data you have provided in this Application Form, including your name, age, contact details, bank account details, transactions and the invested amount in accordance with all applicable data protection laws and our Investor Privacy Policy, which is available from the Policies section of our website [www.slaterinvestments.com](http://www.slaterinvestments.com) (or otherwise available upon request).

Our Investor Privacy Policy sets out, amongst other things, the purpose or purposes for which your personal data is collected and intended to be processed, and also contains any other information prescribed by data protection legislation.

Slater Investments Limited, as a data controller, will handle all your personal details in accordance with General Data Protection Regulations. From time to time we would like to send you information on other products, services and events which we offer and which we may think are of interest to you. If you would like to receive such information please tick this box:

You may, at any time, object to the use of your personal details and withdraw your consent for the purposes of direct marketing at any time and free of charge by writing to us by letter and/or email at the address provided in the Investor Privacy Policy.

Full Name:

Signature:

Date: DD / MM / YYYY

**This form will be returned if it is not signed and dated.**

## 8 Completed Form Checklist

To ensure that there will be as few issues as possible, if any, in processing your form, please make sure you have:

- Provided full registration details - **Section 1**
- Provided full details relating to the deceased - **Section 2**
- Confirmed your choice of in-specie transfer or cash subscription - **Section 3**

- Stated your fund choice(s) and how much you wish to invest - **Section 4**
- Provided your nominated bank details - **Section 5**
- Signed and dated the declaration - **Section 7**

Up to date Key Investor Information Documents, Supplementary Investor Document, Prospectuses and Reports & Accounts for any Slater Fund can be requested by the investor from Slater Investments Limited at any time or obtained from the relevant Slater Fund page on the Slater Investments Limited website ([www.slaterinvestments.com](http://www.slaterinvestments.com)).

If you have any queries or need further assistance with the completion of this application form, please contact your Professional Adviser or contact **Lisa Letham** on **+44 (0) 207 2209365**.

Your completed Application Form should be returned to your Professional Adviser or directly to: **JTC Fund & Corporate Services, HX1, 16th Floor, Harbour Exchange Square, London, E14 9GE**.